



JOH Rec'd/PCT/PTO 19 JUN 2001

Atty. Docket No.
33764R003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Andre Luiz Arias, et al.

US Serial No.: 09/787,667

Group Art Unit: To Be Assigned

Filed: March 21, 2001

Examiner: To Be Assigned

For: RADIATION SENSITIVE COATING COMPOSITION USEFUL FOR
LITHOGRAPHIC PRINTING PLATES AND THE LIKE

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER
U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/E0/US)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notification of Missing Requirements Under 35 U.S.C. 371, mailed April 19,

2001, Applicants file herewith the following documents relating to the above-identified application:

- (1) A copy of the Notification of Missing Requirements;
- (2) Executed Declaration/Power of Attorney;
- (3) Assignment Recordation Cover and Executed Assignment
- (4) A check in the amount of \$170.00 to cover the surcharge (\$130) and assignment recordation fee (\$40).

Please charge any additional fees which may be necessary to Deposit Account No. 02-4300 and credit any overpayment to said Deposit Account. This includes any additional fees under 37 C.F.R. § 1.16 and 1.17.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By: _____

Dennis C. Rodgers, Reg. No. 32,936
1850 M Street, N.W., Suite 800
Washington, D.C. 20036
Telephone: (202) 659-2811
Fax: (202) 263-4329

June 19, 2001

06/21/2001 11:00:00 00000054 09787667

04:00:154

130.00 00

6-19-01
02



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/787,667 09/787667	ARIAS	A 33764R003
000441 5611 SMITH, GAMBRELL & RUSSELL, LLP 1850 M STREET, N.W., SUITE 800 WASHINGTON DC 20036		INTERNATIONAL APPLICATION NO. PCT/BR99/00079
I.A. FILING DATE		PRIORITY DATE
09/21/99		09/21/99

DATE MAILED: 04/19/01

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- | | |
|--|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> Priority Document. | |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input checked="" type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

DOCKETED

4-23-01

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☒ U.S. Basic National Fee. ☒ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

BEST AVAILABLE COPY